



Seafood Import Monitoring Program (SIMP) of the National Marine Fisheries Service (NMFS)

The NMFS Seafood Import Monitoring Program (SIM) includes a list of species determined to be “priority” for monitoring relative to **Illegal, Unreported and Unregulated** (IUU) fishing and potential Seafood Fraud.

Eleven species are included in the SIM program:

- Atlantic Cod
- Blue Crab (Atlantic)
- Dolphinfish (Mahi Mahi)
- Grouper
- King Crab (red)
- Pacific Cod
- Red Snapper
- Sea Cucumber
- Sharks
- Swordfish (Also included in the NMFS HMS program)
- Tunas: Albacore, Bigeye, Skipjack, Yellowfin, and Bluefin (Under certain conditions; may also be included in the NMFS HMS and 370 programs)

- Abalone (stayed/delayed)
- Shrimp (stayed/delayed)

Tariff Flag Code	Agency	Program Code	Required	Tariff Flag Definition
NM8	NMF	SIM	Required	Seafood Import Monitoring Program specific data is required

Key Points You Should Know:

1. The rule establishing the Seafood Import Monitoring Program applies only to seafood entering the U.S. from a foreign country. The Importer of Record will be required to keep records regarding the chain of custody of the fish or fish product from harvest to point of entry into U.S.
2. “Importer” of SIMP must have a US Address when applying for Permit – can act as Agent on Behalf of a Foreign Importer of Record. “Importer” must maintain “Chain of Custody” recordkeeping and must be in the US.
3. The data collected will allow these priority species of seafood to be traced from the point of entry into U.S. commerce back to the point of harvest or production to verify that it was lawfully harvested or produced.
4. The collection of harvest and landing information for these priority seafood species will be accomplished through International Trade Data System (ITDS) reporting.
5. The information collected under this program is confidential.
6. The rule also applies to re-imported product of priority species originally harvested in the U.S.

DIS documents or images are not required for the SIM Program.

Resources:

Materials pertaining to the SIM Program can be accessed at:

<http://www.iuufishing.noaa.gov/RecommendationsandActions/RECOMMENDATION1415/FinalRuleTraceability.aspx>

<http://www.iuufishing.noaa.gov/RecommendationsandActions/RECOMMENDATION1415/SIMP.aspx>

IUU and Seafood Fraud Taskforce web portal - www.iuufishing.noaa.gov

- Compliance and Implementation Guides, Fact Sheet, Model Catch Certificate Forms
Additional public webinars added in 2017

For questions related to requirements of the SIMP, contact NOAA Fisheries' Office of International Affairs and Seafood Inspection, Celeste Leroux, Celeste.Leroux@noaa.gov

For questions related to the use of the Automated Commercial Environment, ITDS, contact NOAA Fisheries' Office of Science and Technology, Dale Jones, Dale.Jones@noaa.gov

Data Requirements for SIMP PGA Message Set:

Product Common English Name _____

Scientific Species 3 Alpha Code _____

The United Nations Food and Agricultural Organization's Aquatic Sciences and Fisheries Information System (ASFIS) provides a comprehensive reference list of species names, scientific names and the 3 Alpha codes for them

** Must transmit the 3 Alpha codes that are not mandatory of the priority species to specify full message set is not required

3 character Species Code

<http://www.fao.org/fishery/collection/asfis/en>

NMFS Seafood Import Monitoring Program - Species that Require the full set of the SIM Program Records:

<http://www.iuufishing.noaa.gov/Portals/33/SIMP.MandatoryFullMessageSetSpecies.3Alpha%20Codes.pdf>

HTS _____

The current list of HTS Codes required for the Seafood Import Monitoring Program can be found on line at; <http://www.nmfs.noaa.gov/ia/iuu/taskforce.html>

Harvest Description Code _____

- **HCF = Harvest Capture Fisheries / "Wild Caught"** - (including small vessel harvests transferred to a larger vessel at sea) This includes pen matured (PMH) animals, those animals captured alive before they are "penned" for feeding and growth until actual/final harvest
- **HBA = Hatchery Based Aquaculture** - Harvested animals that have been owned by individuals or corporate bodies throughout their entire life cycle from spawning to harvest
- **SVH = Small Vessel Harvest – "Wild Capture"** aggregated harvests involving multiple small-scale fishing vessels (20 measured gross tons or less or 12 meters length overall or less) landed at shore(off-loaded from multiple small vessels to shore)
(Not used for situations where the fish were transferred to a larger collecting vessel)

Country Code _____

- Country or what Country's territorial waters the harvest took place or, if it was harvested on the high seas in international waters, code "ZZ".

Geographic Location

- Enter the geographical location that is the source where the harvest, growth, production, sale, or catch took place.

Code	Name	Definition
A	Atlantic	An ocean bounded by: the Arctic Ocean along a line arbitrarily designated as lying along a system of submarine ridges that extend between land masses of Baffin Island, Greenland, and Scotland; the Mediterranean Sea at Strait of Gibraltar; the Caribbean Sea along arc of the Antilles; the Indian Ocean on the east by the 20° east meridian; and from the Pacific on the west along the line of shallowest depth between Cape Horn and Antarctic Peninsula.
CAR	Caribbean Sea	A sea, enclosed on the north and east by the islands of the West Indies, and bounded on the south by South America and Panama, and on the west by Central America separated from the Atlantic ocean along the arc of the Antilles.
GM	Gulf of Mexico	
EA	Eastern Atlantic Ocean	Atlantic ocean, east of 45 W. longitude.
EPO	Eastern Pacific Ocean east of 150 W	Pacific ocean, east of 150 W. longitude.
ETP	Eastern Tropical Pacific Ocean east of 160 W	Pacific ocean, east of 160 W. longitude, between 40 N. and 40 S. latitude.
IND	Indian Ocean	Ocean bounded on the west by Africa, on the north by Asia, on the east by Australia and Australasian islands, and on the south by the Southern Ocean. A line 4,000 km (2,500 mi) long on the 20th meridian east of Greenwich, connecting Cape Agulhas at the southern end of Africa with Antarctica, is generally considered to be the boundary between it and Atlantic ocean.
MED	Mediterranean	Inland sea of Europe, Asia, and Africa, separated from the Atlantic Ocean at its western end by the Strait of Gibraltar.
NAT	North Atlantic	Atlantic ocean, north of the equator.
NP	North Pacific Ocean	Pacific ocean, north of 40 N. Latitude.
OTH	Other- Describe Area	Other area, not defined by any other coded location.
PAC	Pacific Ocean	An ocean extending from the Arctic in the north to Antarctica in the south, bounded by Asia and Australia on the west and the Americas on the east.
SAT	South Atlantic	Atlantic ocean, south of the equator.
SP	South Pacific Ocean	Pacific ocean, west of 160 W. longitude, south of 15 S. latitude and east of 160 W. longitude, south of 40 S. latitude.
WA	Western Atlantic Ocean	Atlantic ocean, west of 45 W. longitude.
WP1	Western Pacific Ocean west of 150 W	Pacific ocean, west of 150 W. longitude.
WP	Western Pacific Ocean west of 160 W	Pacific ocean, west of 160 W. longitude and north of 15 S. latitude.

Processing Start Date _____

Landing Date	Off Load Date

Harvest Gear _____

Code	Name
BB	Baitboat
BBF	Baitboat: Freezer
BBI	Baitboat: Ice-well
BLL	Longline: Bottom or Deep longliners
DN	Large Scale Driftnet (High Seas)
GIL	Gillnet
GN	Gillnet less than 1.5 miles (2.4 km) in total length
HAN	Handline
HAR	Harpoon
HS	Haul Seine
LL	Longline
LLA	Longline: Targeting ALB
LLF	Longline: Foreign-Based
LLH	Longline: Home-Based
LLJ	Longline: Japanese Type
LLM	Longline: with mother boat
MWT	Mid-water Trawl
PTM	Trawl: Mid-water paired trawl
OTH	Other Type
PL	Pole and Line, Hook and Line
PS	Purse Seine Net
PSD	Purse Seine: Double-boats
PSL	Purse Seine: Catching large fish
PSF	Purse seine: Catching small fish
PSG	Purse Seine: Large Scale (over 200 MT capacity)
PSB	Purse Seine: Using live bait
PSM	Purse Seine: Medium scale (between 50 and 200 MT capacity)
PSS	Purse Seine: Small Scale (less than 50 MT capacity)
RR	Rod and Reel
RFB	SPORT: Rod & Reel (catching large fish)
RFS	SPORT: Rod & Reel (catching small fish)
RSD	SPORT: Rod & Reel DISCARDS (small vessels)
SHL	Sport Handline
SPO	Sport Fisheries Unclassified
SUR	Surface Fisheries Unclassified
TL	Tended Line
TN	Trammel Net
TRA	Trap
TRW	Trawl
TRO	Troll
UNC	Unclassified: Gears not reported or Unspecified method

Product Form Processing Description

Provide the 3-letter code indicating the product form at the time of transshipment or initial landing.

Valid codes are:

- NDR- Dressed
- NFL- Fillet
- NGG- Gilled and gutted
- NOT- Other
- NRD-Round (whole)
- NST- Steak
- NRS - Radiation sterilized.

The NMFS International Fisheries Trade Permit (IFTP)

14 digit number with the letter P followed by 13 numbers	Permit Issue Date:
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- The NMFS International Fisheries Trade Permit (IFTP) is used and required for any of NMFS programs https://fisheriespermits.noaa.gov/npspub/pub_cmn_login/index_live.jsp
- This is a continuous use permit which can be used repeatedly and is the same permit number for all import transactions for all NMFS programs. Annual Renewal - 12 months from issuance. \$30.00 Annual Fee – Permit number may change upon renewal
- This number is an alpha numeric 14 digit number with the letter P followed by 13 numbers

“Other Authorization to Fish”

- Trade may submit this additional, license, permit, certificate or other (LPCO) under which the product in this shipment was legally harvested seafood/product. Such authorizations may include, but are not limited to, Regional Fisheries Management Organization (RFMO) membership number, flag/country license or similar documentation

Entity Role Code

- Harvest Capture Fisheries (HCF), wild capture fish/product, or those captured and thereafter pen raised until harvest; name and location of the entity to which the product was provided at the time of the initial/first landing or delivery.
- For products designated as Hatchery Based Aquaculture (HBA); provide the name and location of the aquaculture facility where the fish was harvested (AQF).
- If the fish/product was collected from multiple sites and documented as an “aggregated harvest” through an “aggregated harvest report”, provide the name of the person or entity responsible for the collection.
- For product designated as Small Vessel Harvest (SVH), provide the name and location where the fish was landed at shore and the name of the person or entity responsible for the collection of the fish.

Code	Name	Definition
AQF	Aquaculture Facility	Name of the aquaculture facility at which the seafood is raised and harvested.
BY	Buyer	Party to which merchandise or services are sold.
CE	Certifying Entity	Importer or Private Labeler who is certifying the shipment.
CI	Certifying Individual	Individual who is certifying the shipment.
CO	Certifying Official	Official who is certifying the shipment.
CN	Consignee	Party on whose account the merchandise is shipped.
CZ	Consignor	Party, which, by contract with a carrier, consigns or sends goods with the carrier, or has them conveyed
CR	Consolidator	Entity that combines less-than-carload shipments into full carloads

EX	Exporter	Party who makes, or on whose behalf the export declaration is made, and who is the owner of the goods.
EXE	Exporting Establishment	The establishment where the export originated.
PRE	Preparer	Person who prepared the form.
GD	Producer	Party or person who has produced the product.

Entity Company Name _____

Entity Address 1 _____

Entity Address 2 _____

City _____ State _____ Zip Code _____

Country _____

Entity Individual Name _____

Entity Telephone _____

Entity Email _____

Number of Small Vessels if (SVH) _____

If **SVH** designating the product as harvested under the aggregated harvest by small vessels, list the number of small vessels used to conduct the harvest if the aggregated harvest by small vessels exception was use **If Harvest Description Code is (SVH) Small Vessel Harvest – “Wild Capture” Under the aggregated harvest by small vessels**

Commodity Net Quantity (PGA line - net)” if (HBA) or (SVH)

Net Quantity	Unit of Measure
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Net unit of measure of the commodity weight at the time of harvest, excluding all packing and packaging. Two decimals.

If Harvest Description Code is (HBA) Hatchery Based Aquaculture or (SVH) Small Vessel Harvest – “Wild Capture”.

If Harvest Description Code (HCF) Harvest Capture Fisheries / “Wild Caught”

Data pertaining to the vessel used to harvest the commodity or the larger vessel used to aggregate and transport the harvest of multiple smaller vessels under 12 meters or under 20 gross tons. It must be repeated to provide both the Vessel Country of Registry (VCR), and Vessel Name (VNM), along with required associated data. It may also be repeated to provide other vessel identifiers that trade may wish to provide, such as the International Maritime Organization Number (IMO), etc., if applicable.

Vessel Country of Registry (VCR) (Flag Country of Registry of the Harvest Vessel or Transshipment vessel)

Vessel Name (VNM) _____

Harvest Commodity Net Weight

Harvest Commodity Net Weight	Unit of Measure
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The following additional codes are allowed: for Harvest Type Code (HCF) Harvest Capture Fisheries / "Wild Caught"

IMO - International Maritime Organization _____

VCS = Vessel Call Sign _____

PMT = flag state permit number _____

RFO = Regional Fishery Organization authorized vessel number _____

OTH = Other _____

Offload location

If (HCF) wild caught harvest (including small vessel harvests transferred to a larger vessel at sea) scenario

Or

If (SVH) wild caught – aggregated harvest, offloaded from multiple small vessels to shore

Commodity Routing Type Code _____

Provide the code indicating the

- Place of Transshipment from original harvest vessel to transshipment vessel using the Place of Transshipment Code - Code = 13
- or the Country of First Landing from original harvest vessel to shore using the Place of Discharge Code – Code = 11

Commodity Routing Country Code _____

A two letter country code that shows the country:

- where the fish was initially landed
- or, if transshipped, what Country's territorial waters the transshipment took place in
- If it was transshipped on the high seas in international waters, use code "ZZ".

Please return completed form to: _____

Any questions, please contact your A.N. Deringer Representative or email:

compliance@anderinger.com

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