



EPA Form 3520-1

(Light duty/ on-road)

Company Name:	Account Number:
Product or Component Characteristics and/or Commercial Description:	If EPA is not required please check the disclaim box below: DISCLAIM
Harmonized Tariff Schedule (HTS)Number:	Engine Manufacturer Trade Name/Brand Name:
Engine Number (ENN) or Serial Number (SE):	EngineManufacturer Model:
Vehicle Identification Number (AKG/VIN)	Engine Manufacturer Month and Year (MMYYYY):
Import/Commodity Qualifier Codes <i>(Refer to the import code* and commodity qualifier code** matrices. Additional data elements may be required in the LPCO section below, dependent upon the import code selected)</i>	
*Import Code:	**Commodity Qualifier Code:
Licenses, Permits, Certificates or Other (LPCO) Required Data Elements <i>(see Import Codes Matrix for applicable LPCO details)</i>	
LPCO Type:	LPCO Number Assigned:
EPA Entities (*Required)	
*Importer (IM) Company Name:	*Owner (DFP) Company Name: <i>*If different from Importer</i>
*Importer (IM) Address:	Owner (DFP) Address:
*Importer (IM) Contact Name:	Owner (DFP) Contact Name:
*Importer (IM) Telephone Number:	Owner (DFP) Telephone Number:
*Importer (IM) Email Address:	Owner (DFP) Email Address:
Storage Location (STL) Company Name <i>(Optional)</i> :	*Certifying Individual (CI) Company Name:
*Storage Location (STL) Contact Name:	*Certifying Individual (CI) Contact Name:
*Storage Location (STL) Contact Phone Number:	*Certifying Individual (CI) Contact Phone Number:
*Storage Location (STL) Contact Email Address:	*Certifying Individual (CI) Contact Email Address:



***EPA 3520-1 IMPORT CODE MATRIX**

Data Element	Code	Description	LPCO/Required Data Element or Image
US Conforming and "Identical" Vehicles	B	US Certified – unmodified vehicle bearing a U.S. EPA emission control label in engine compartment (or on motorcycle frame) in English.	Test Group/Engine Family Number
	F	US Certified catalyst restoration – U.S. certified vehicle as described above, except that the catalyst, oxygen sensors or fuel filler neck restrictor were removed or damaged. The importer attests that the catalyst and oxygen sensors and fuel filler neck restrictor, as applicable, will be re-installed or replaced after importation. If leaded gasoline was used, the importer attests that after importation (1) the fuel tank will be drained and refilled with unleaded gasoline, (2) the catalyst and oxygen sensors, if they were left on the vehicle during use of the leaded gasoline, will be replaced, and (3) the fuel filler neck restrictor will be checked and replaced as necessary. No bond or EPA approval is required.	Test Group/Engine Family Number
	EE	Identical in all material respects to a US certified version – either 1) Canadian vehicle (proof required e.g. Canadian emission control label, registration or title, or letter from the U.S. or Canadian manufacturer representative on letterhead verifying manufacture for sale in Canada) or 2) vehicle from any country with letter attached to this form from the manufacturer's U.S. representative on letterhead (not a dealer or mechanic) stating that the vehicle is identical to a U.S. EPA certified version with respect to emissions. The importer attests that vehicle is being imported for purposes other than resale or lease. For import of "identical" Canadian vehicles for resale, use code FF.	Electronic Image Required In DIS – Canadian emissions control label or letter from OEM or Registration/Title Certification of not importing for lease or resale
	FF	Canadian "identical" models imported for resale or lease – Canadian vehicle as described above appearing on EPA list of Canadian "identical" models, imported for resale or lease. The importer attests that the importer will satisfy applicable labeling, warranty and CAFÉ requirements as specified by EPA.	Certification that labeling, warranty and CAFÉ requirements will be met Electronic Image Required in DIS – Canadian emissions control label, letter from OEM, or Registration or title
EPA Exempted Vehicles	M	Miscellaneous exemption – either 1) Canadian vehicle as described above (proof required) and the importer is either permanently emigrating to the U.S. or will reside in the U.S. for greater than one year under a worker or student visa, or 2) Canadian vehicle received by U.S. resident through inheritance, or 3) EPA hardship letter based on unforeseen and extraordinary circumstances is attached to this form.	Explanation of exemption - Canadian vehicle received by US resident - Importer is either permanently emigrating to the US or will reside for greater than one year with a student or work visa - Electronic Image Required in DIS – Importer has an EPA hardship letter
	E	Vehicle at least 21 years old – (calendar year of manufacture subtracted from year of importation) and in original unmodified configuration is either exempted or excluded from EPA emission requirements, depending on age. Vehicles at least 21 years old with replacement engines are not eligible for this exemption unless the replacement engine is the same model as originally installed, or an engine with any aftertreatment from a certified vehicle no older than the one being imported. Customs may require proof of vehicle age.	N/A
Excluded Vehicles	L	Racing vehicle – as determined by EPA and may not be registered or licensed for use on or operated on public roads or highways (40 CFR 85.1511(e). EPA letter of approval must be attached to this form.	Exemption Number
	U	2005 model year (or older) motorcycle, scooter or moped with engine displacement less than 50cc and with rated speed greater than 5000 rpm.	Model Year and Power rating
	W	Non-chassis-mounted engine – to be used in a light-duty vehicle or light-duty truck or motorcycle which is currently covered by an EPA certificate or will be covered by an EPA certificate prior to introduction into commerce.	Test Group Name/Engine Family Number Or, Statement if Test Group Name/Engine Family Number is pending
	Y	Unregulated fuel – a vehicle that: (1) for model years earlier than 1991 operates on fuel other than gasoline or diesel fuel, or (2) for 1991-1996 model years operates on fuel other than gasoline or diesel or methanol fuel, or (3) for 1997 and later model years operates on fuel other than gasoline or diesel or methanol or ethanol or compressed natural gas (CNG) or liquid petroleum gas (LPG), including propane. This exemption does not apply to 2004 and later model year vehicles.	Model Year and Fuel type
Temporary Imports	G	Imported for repair or alteration – in accordance with 40 CFR 85.1511(b)(1). May not be registered or licensed for use on , or operated on public roads or highways, or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.	Exemption number and CBP Bond Number
	I	Imported for testing purposes – in accordance with 40 CFR 85.1511(b)(2). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the testing program) or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be attached to this form.	Exemption number and CBP Bond Number
	K	Imported for display – (solely for public or business purposes, and not for private purposes or U.S. market sales promotions) in accordance with 40 CFR 85.1511(b)(4). May not be registered or licensed for use on or operated on public roads or highways (except operation that is integral to the purpose of the display) or sold or leased in the U.S. Customs bond required. EPA requests that the vehicle be bonded for at least its full value. EPA letter of approval must be	Exemption number and CBP Bond Number



		<u>attached to this form.</u>	
	N	Imported for up to one year by a member of the armed forces or personnel of a foreign government on assignment in the US – for whom free entry has been authorized in writing by the U.S. Department of State, or a member of the armed forces of a foreign country with official orders for duty in the U.S.	Electronic Image Required in DIS – Letter from Department of State
	O	Imported by non-resident for personal use by an individual for a period up to a year – <u>EPA letter of approval must be attached to this form.</u>	Exemption Number
Independent Commercial Importer (ICI) Imports	A	Imported by an ICI for modifications in accordance with a valid EPA certificate of conformity – issued for the specific make, model, and model year in accordance with 40 CFR 85.1505.	Test Group Name/Engine Family Number Model Year
	C	Imported by an ICI for modification and testing in accordance with 40 CFR 85.1509. Vehicle must be at least 6 years old.	Test Group/Engine Family Number and Model Year
	J	Imported by an ICI for the purpose of pre-certification testing in order to obtain an EPA certificate of conformity. No EPA approval is required. The ICI has 180 days to obtain a certificate or export (40 CFR 85.1511(b)(3)). <u>Customs bond required.</u>	Test Group Name/Engine Family Number and CBP Bond Number
	Z	Imported by an ICI for purpose of modifying to be identical to an original equipment manufacturer (OEM) certified version in accordance with written instructions from the OEM that are specific to the vehicle being imported.	Test Group Name/Engine Family Number and CBP Bond Number and Model Year
OEM Imports	H	Imported, owned and controlled directly by an original equipment manufacturer (OEM), on EPA list of OEM certificate holders provided to Customs, for research, development or testing purposes in accordance with 40 CFR 85.1706. This is a temporary exemption without time limit. If the vehicle is subsequently covered by an applicable EPA certificate of conformity, it is released from the restrictions of this exemption.	N/A
	Q	Imported, owned and controlled directly by an original equipment manufacturer (OEM), on EPA list of OEM certificate holders provided to Customs, for storage pending receipt of the applicable EPA certificate of conformity, which is pending and imminent. Use of this code is no longer permitted once EPA has issued the applicable certificate of conformity.	N/A

****COMMODITY QUALIFIER CODES:**

A COMMODITY QUALIFIER CODE WILL BE REQUIRED WITH THE USE OF THE FOLLOWING IMPORT CODES: U, A, C OR Z

Qualifier Code	Definition	Commodity Characteristic Qualifiers
V00	Body Type – Truck/Van/SUV/Bus (Over 1 ton)	
V01	Drive Side (the side on which the steering mechanism is located in the vehicle)	
V02	Body Type – Passenger/Van/SUV (1 ton and under)	
V03	Engine Power Rating	
V04	DDTC Significant Military Equipment	
V05	Manufacture Date of the vehicle or engine	ENG-Engine, VEH-Vehicle, OTH-Other (explains the location of the manufacture date of the vehicle or engine)
V06	Model year of the vehicle or engine	

EPA DISCLAIMER:

Valid disclaim codes:

A=product is not regulated by this agency

B=data is not required per agency guidance

***no disclaimer is allowed if the HTS is flagged as “must be” provided**

