



Customer/Importer Name:	Account Number:	
Address:	<i>Refer to the Appendices for help determining program / category / commodity codes and conformance declaration</i>	
	*Conformance Declaration (HS-7 Box):	**Program Code:
Product/Item Description:	***Category Code:	****Commodity Qualifier/ Code:
Harmonized Tariff Schedule (HTS) Number:	Manufacturer Make:	
Product Market, Trade or Brand Name:	Manufacturer Model:	
Vehicle Identification Number (VIN)	Manufacturer Date:	
<b>Licenses, Permits, Certificates or Other (LPCO) Required Data Elements (where document image is not required/provided)</b>		
LPCO Type (NH0- Registered Importer, NH2-NHTSA Permission Letter or NH3-Vehicle Eligibility Number):	LPCO Number Assigned:	
LPCO Date Type (Expiration, Effective, Signed or Received):	LPCO Date:	
<b>NHTSA Entities</b>		
<b>Consignee Company Name:</b>	<b>Certifying Individual (CI) Company Name:</b>	
Address:	Address:	
Contact Name (First & Last):	Contact Name (First & Last):	
Contact Number:	Contact Number:	
Contact Email:	Contact Email:	
DUNS or IRS Number:	CI DUNS or IRS Number:	
<b>Retailer/Distributor (RD) Company Name:</b> <i>If different than the Consignee</i>	<b>Fabricating Manufacturer (FM) Company Name:</b>	
Address:	Address:	
Contact Name (First & Last):	(FM) DUNS or IRS Number:	
Contact Number:	Tire or Glazing Manufacturer Code:	
Contact Email:		
(RD) DUNS or IRS Number:		



# APPENDICES

## \*CONFORMANCE DECLARATION & LICENSES, PERMITS, CERTIFICATES OR OTHER (LPCO)

HS-7 Box Number	Required documents or other data requirements	Mandatory Electronic Image	Data Format
Box 1	Date of Manufacture		
Box 2A			
Box 2B	Manufacturer's compliance letter	Yes	
Box 3	Requires DOT bond copy, NHTSA registration or contract copy w/registered party	Yes - Conformance Bond Copy	Vehicle Import Eligibility # AAA-NNN Registered Importer # A-NN-NNN
Box 4			
Box 5	Passport number & country of issue		
Box 6	Name of embassy, copy of Official Orders	Yes - Official Orders	
Box 7	NHTSA permission letter or importer's substantiating statement	Yes - Importer's Statement	NHTSA Permission Letter: NN-NNNN-NNNN
Box 8	Importer's substantiating statement	Yes	
Box 9	Incomplete vehicle document, Manufacturer's Written Statement	Yes - Incomplete Vehicle Document Yes - Manufacturer's Statement	
Box 10	NHTSA permission letter		NHTSA Permission Letter: NN-NNNN-NNNN
Box 11			
Box 12	Copy of Official Orders	Yes	
Box 13	Copy of NHTSA permission letter		Registered Importer #: A-NN-NNN NHTSA Permission Letter: NN-NNNN-NNNN

\*In the case of documents for which electronic images are not mandatory: provide assigned document numbers, expiration date, effective date, date issued or signed or date application received as appropriate, in lieu of the documents.

## \*\*GOVERNMENT AGENCY PROGRAM CODES

### MVS - Motor Vehicles

Motor vehicles are defined as vehicles that are driven or drawn by mechanical power and manufactured primarily for use on public streets, roads, or highways

### REI - Regulated motor vehicle equipment items subject to FMVSS (Federal Motor Vehicle Safety Stds)

Examples:

- Automotive Tires
- Car Headlamps
- Truck Rims
- Brake Hose
- Motorcycle Helmets
- Child Safety Seats
- Automotive Brake Fluid

Full details regarding articles subject to the FMVSS are available at <https://www.nhtsa.gov/importing-vehicle>

### TPE - Replacement motor vehicle items subject to FMVTPS (Theft Prevention Standards)

NHTSA's Agency Code TPE is defined as *Replacement motor vehicle equipment items that are subject to the Federal motor vehicle theft prevention standard (FMVTPS)*. The 18 replacement equipment items that are subject to the FMVTPS are: engines, transmissions, right and left front fenders, hood, right and left front and rear doors, sliding or



cargo doors, front and rear bumpers, right and left rear quarter panels (passenger cars), right-side and left-side assembly (MPVs), pickup boxes and/or cargo boxes, rear doors (both doors in case of double doors), decklids, tailgates, or hatchbacks. If it is a replacement item, a fender must be marked in accordance with the FMVTPA and should be coded as TPE (Theft Prevention Equipment) and declared under Box 11. However, if the fender is intended for assembly in a new car (and therefore is not a replacement part), it is not subject to the theft prevention standard and should be coded as OEI (Other Equipment Item) and declared under Box 1.

### **OEI - Other motor vehicle equipment (not subject to FMVSS or FMVTPS)**

Examples:

- Windshield Wipers
- Automotive Disc Brake Pads
- Air Bag Modules

**OFF - The vehicle was not manufactured primarily for use on the public roads and thus is not a motor vehicle** subject to the Federal motor vehicle safety, bumper, and theft prevention standards or the equipment item is not a system, part, or component of a motor vehicle and thus is not an item of motor vehicle equipment subject to the Federal motor vehicle safety, bumper, and theft prevention standards. Vehicle examples include, among other things, all-terrain vehicles (ATVs), off-road motorcycles, race cars built and is used solely for off-road purposes, vehicles with tracks that are incapable of on-road use, agricultural equipment, airport runway vehicles, underground mining vehicles, vehicles manufactured for, and sold directly to, the Armed Forces of the United States in conformity with contractual specifications, self-propelled asphalt pavers, and mobile construction equipment including mobile cranes, mobile excavators, and mobile well drills that use the highways only to move between job sites and that typically spend extended periods of time at a single site.

### **TRADE OR BRAND NAME**

The make of the product by manufacturer or distributor from the label or invoice. This data element is mandatory for motor vehicles and equipment items subject to the FMVSS standards under the Government Agency Code REI.

Examples:

Toyota  
Goodyear  
Monroe

### **MODEL**

Model is mandatory for motor vehicles. Model is optional for the 13 equipment items that are subject to the FMVSS (Code REI) and equipment items identified under Codes OEI, TPE, and OFF.

Example:

Camry

### **MANUFACTURE YEAR**

For importations of motor vehicles month and year is mandatory.

### **ID NUMBER AND ID QUALIFIER CODE**

A VIN is mandatory for all vehicles. (Model number, engine number, or serial number, are optional for NHTSA reporting but are required in the EPA message set for equipment items subject to EPA.)



**\*\*\*CATEGORY/CATEGORY CODE**

This is a conditional record that allows for reporting codes that provide additional characteristics of a product not reported elsewhere. For example, this record can be used to provide the model year of an automobile, which can be different from the year of manufacture.

**MVSTYP** - Motor vehicles are defined as vehicles that are driven or drawn by mechanical power and manufactured primarily for use on public streets, roads, or highways.

<b>NHTSA Category Code MVSTYP</b>	
<b>Code</b>	<b>Name</b>
<b>MVS1</b>	Passenger car - A motor vehicle with motive power, except a low-speed vehicle, multipurpose passenger vehicle, motorcycle, or trailer, designed for carrying 10 persons or less.
<b>MVS2</b>	Multipurpose Passenger Vehicle - A motor vehicle with motive power, except a low-speed vehicle or trailer, designed to carry 10 persons or less which is constructed either on a truck chassis or with special features for occasional off-road operation.
<b>MVS3</b>	Truck - A motor vehicle with motive power, except a trailer, designed primarily for the transportation of property or special purpose equipment.
<b>MVS4</b>	Bus (other than school buses) - A motor vehicle with motive power, except a trailer, designed for carrying more than 10 persons.
<b>MVS5</b>	Motorcycle - A motor vehicle with motive power having a seat or saddle for the use of the rider and designed to travel on not more than three wheels in contact with the ground.
<b>MVS6</b>	Motor driven Cycle - A motorcycle with a motor that produces 5 brake horsepower or less.
<b>MVS7</b>	Trailer - A motor vehicle with or without motive power, designed for carrying persons or property and for being drawn by another motor vehicle.
<b>MVS8</b>	Pole Trailer - A motor vehicle without motive power designed to be drawn by another motor vehicle and attached to the towing vehicle by means of a reach or pole, or by being boomed or otherwise secured to the towing vehicle, for transporting long or irregularly shaped loads such as poles, pipes, or structural members capable generally of sustaining themselves as beams between the supporting connections.

**REITYP** - Regulated motor vehicle equipment items that are subject to the Federal motor vehicle safety standards (FMVSS)

<b>NHTSA Category Code REITYP</b>	
<b>Code</b>	<b>Name</b>
<b>REI1</b>	Tire - The rubber part of a motor vehicle's wheel that contacts the ground.
<b>REI2</b>	Rim - A metal support for a tire or a tire and tube assembly upon which the tire beads are seated. Also known as a wheel.
<b>REI3</b>	Brake Hose - A flexible conduit other than a vacuum tubing connector, manufactured for use in a brake system to transmit or contain the fluid pressure or vacuum used to apply force to a vehicle's brakes.
<b>REI4</b>	Brake Fluid - A liquid designed for use in a motor vehicle hydraulic brake system.
<b>REI5</b>	Seat Belt Assembly - Any strap, webbing, or similar device designed to secure a person in a motor vehicle in order to mitigate the results of any accident, including all necessary buckles and other fasteners, and all hardware for installing such seat belt assembly in a motor vehicle.
<b>REI6</b>	Lamps, Reflective Devices, and Associated Equipment - Lamps are devices for giving off light without being consumed. Reflective devices receive light and reflect it back.
<b>REI7</b>	Glazing (Automotive Glass and Plastics) - Glass or glass-plastic laminated material manufactured for use in a motor vehicle.
<b>REI8</b>	Motorcycle Helmet - A helmet designed for use by a motorcyclist to reduce death or injury resulting from head impacts.
<b>REI9</b>	Child Restraint System (Child Safety Seat) - Any device other than Type 1 or Type 2 seat belts designed for use in a motor vehicle or aircraft to restrain, seat, or position children who weigh 30 kilograms or less.
<b>REI10</b>	Platform Lift System For The Mobility Impaired - A level change device, excluding a ramp, used to assist persons with limited mobility in entering or leaving a vehicle.
<b>REI11</b>	Rear Impact Guards for Trailers - A device installed on or near the rear of a vehicle (typically trailers and semitrailers) so that when the vehicle is struck from the rear, the device limits the distance that the striking vehicle's front end slides under the rear end of the impacted vehicle.
<b>REI12</b>	Triangular Reflective Warning Device - a device, without a self-contained energy source that is designed to be carried in a motor vehicle and used to warn approaching traffic of the presence of a stopped vehicle, except for a device designed to be permanently affixed to the vehicle.
<b>REI13</b>	Compressed Natural Gas Container (only those CNG containers intended for use in a motor vehicle's fuel system ) - A container that is used to hold motor vehicle fuel (mainly methane) that can be stored under pressure as compressed natural gas or "CNG."



**TPETYP** - Replacement motor vehicle equipment items that are subject to the Federal motor vehicle theft prevention standard (FMVTPS)

<i>NHTSA Category Code TPETYP</i>	
Code	Name
<b>TPE1</b>	Motor vehicle equipment that is subject to the Federal theft prevention standards.

**OEITYP** - Other motor vehicle equipment items that are not subject to the FMVSS or FMVTPS

<i>NHTSA Category Code OEITYP</i>	
Code	Name
<b>OEI1</b>	Other motor vehicle equipment not subject to Federal motor vehicle safety or theft prevention standards.

**OFFTYP** - The vehicle was not manufactured primarily for use on the public roads and thus is not a motor vehicle subject to the Federal motor vehicle safety, bumper, and theft prevention standards or the equipment item is not a system, part, or component of a motor

<i>NHTSA Category Code OFFTYP</i>	
Code	Name
<b>OFF1</b>	The vehicle was not manufactured primarily for use on the public roads and thus is not a motor vehicle subject to the Federal motor vehicle safety, bumper, and theft prevention standards or the equipment item is not a system, part, or component of a motor vehicle and thus is not an item of motor vehicle equipment subject to the Federal motor vehicle safety, bumper, and theft prevention standards. Vehicle examples include, among other things, all-terrain vehicles (ATVs), off-road motorcycles, race cars built and is used solely for off-road purposes, vehicles with tracks that are incapable of on-road use, agricultural equipment, airport runway vehicles, underground mining vehicles, vehicles manufactured for, and sold directly to, the Armed Forces of the United States in conformity with contractual specifications, self-propelled asphalt pavers, and mobile construction equipment including mobile cranes, mobile excavators, and mobile well drills that use the highways only to move between job sites and that typically spend extended periods of time at a single site.

**\*\*\*\*COMMODITY QUALIFIER CODE**

A code indicating the type of essential or distinctive attribute or quality of an item. Reporting of model year is mandatory and vehicle drive side for Canadian certified and nonconforming vehicles.

Code	Description	Definition
<b>V01</b>	Drive Side	Vehicles are usually manufactured in either left-hand drive (LHD) or right-hand drive (RHD) configuration, referring to the placement of the driver's designated seating position and the driver's controls within the vehicle. Most vehicles manufactured for sale in the United States are left-hand drive in contrast to most vehicles that are manufactured for the United Kingdom, Japan, and Australia, which are right-hand drive. Some vehicles are not LHD or RHD such as trailers, motorcycles, etc. Codes accepted are LHD, RHD or N (neither)
<b>V06</b>	Model Year	The year used by a manufacturer to designate a discrete vehicle model irrespective of the calendar year in which the vehicle was actually produced, or the model year as designated by the vehicle's country of origin, or, if neither the manufacturer nor the country of origin has made such a designation, the calendar year (i.e., January 1 through December 31) in which manufacturing operations are completed on the vehicle at its place of main assembly.



## ENTITIES

This is a conditional requirement that provides data pertaining to Entity Role, Entity Identification, Entity Name, and Entity Address.

<b>Code</b>	<b>Certifying Individual</b>	<b>Definition</b>	<b>Mandatory/Optional</b>
<b>CI</b>	Certifying Individual	Individual who is certifying the shipment.	A certifying individual is mandatory for all HS-7 declarations (Code 946) unless the vehicle owner, importer, or other identified entity certifies.
<b>CN</b>	Consignee	Party on whose account the merchandise is shipped.	Typically included in all entries.
<b>DFP</b>	Owner	The owner of the vehicle, equipment, or engine.	Mandatory for all vehicles imported for personal use, i.e., Box 2B and 5.
<b>FM</b>	Fabricating Manufacture	Party that assembles a motor vehicle from its component parts or makes an item of motor vehicle equipment during the manufacturing process.	Mandatory to identify the fabricating manufacturer of a motor vehicle and the fabricating manufacturer of 13 equipment items that are subject to the FMVSS. Codes can be queried in the NHTSA database: <a href="http://vpic.nhtsa.dot.gov/mid/">http://vpic.nhtsa.dot.gov/mid/</a>
<b>IM</b>	Importer	Party on whose behalf a Customs clearing agent or other authorized person makes an entry.	Typically included in all entries.
<b>OVM</b>	Original Vehicle Manufacturer	An importer who is an original manufacturer of motor vehicles (or a wholly owned subsidiary thereof) that certifies motor vehicles as complying with all applicable Federal motor vehicle safety standards	Mandatory when an Original Vehicle Manufacturer imports a vehicle under Box 7.
<b>RD</b>	Retailer/Distributor	Party that will distribute or offer for retail sale in the U.S. the motor vehicle or motor vehicle equipment item	Mandatory under Box 2A importations when any of the 13 equipment items that are subject to the FMVSS are imported for distribution or retail sale.

