



**Seafood Import Monitoring Program (SIMP) of the National Marine Fisheries Service (NMFS):  
Reporting Requirements – Hatchery Based Aquaculture**

Company Name/Account Number: \_\_\_\_\_

Please fill out the following information if your Harvesting Source Type is **Hatchery Based Aquaculture (HBA)**. HBA should be used for harvested animals that have been owned by individuals or corporate bodies throughout their entire life cycle from spawning to harvest. Please refer to the Instructions on the last page for field definitions. Each harvest event must be reported **per day, per facility**. If the fish/product was collected from multiple sites and documented as an “aggregated harvest” through an “aggregated harvest report”, provide the name of the person or entity responsible for the collection. **All fields are required.**

- 1. Invoice # \_\_\_\_\_ 2. Line# \_\_\_\_\_
- 3. Harvesting Country: \_\_\_\_\_ 4. Harvesting Source Type: HBA
- 5. Product Common Name: \_\_\_\_\_
- 6. Product Scientific Name (genus and species): \_\_\_\_\_
- 7. Product Alpha Code (3 letters): \_\_\_\_\_ 8. Geographic Location Code: \_\_\_\_\_
- 9. Processing Description: \_\_\_\_\_
- 10. IFTP Permit Number: \_\_\_\_\_

Assuming all the data above is the same, fill out this table or provide a completed Model Catch Certificate, provided it contains all the same data.

11. Date of Transfer	12. Net Qty Harvested	13. Unit of Measure	14. Name, Address of Aquaculture or Collection Facility	15. Individual Name, e-mail, and phone of facility in Field 14

NOAA performs audits on SIMP regulated products and may issue a fine for non-compliance. For more information view our SIMP Guidance document as well as the following information from NOAA.

- [Guide to Audit Requirements for SIMP: FAQ](#)
- [Model Catch Certificate](#)
- [Model Aggregated Catch Certificate](#)



## Instructions for Hatchery Based Aquaculture (HBA) reporting for SIMP:

1. Invoice Number – Provide the invoice number that corresponds with the SIMP data being reported.
2. Line Number – Provide the invoice line number that corresponds with the SIMP data being reported.
3. Harvesting Country – Provide the two-letter country code that shows what country or what country's territorial waters the harvest took place or, if it was harvested on the high seas in international waters, use code "ZZ".
4. Harvesting Source Type – Harvesting Source Type for this form will always be HBA. Please use the appropriate form if your source type is Harvest Capture Fisheries (HCF) or Small Vessel Harvest (SVH).
5. Product Common Name – Provide the common name of the product, i.e. swordfish, cod, yellowfin tuna, etc.
6. Product Scientific Name – Provide the genus and species of your product.
7. Product Alpha Code – Provide the 3 digit alpha code that corresponds with the product's genus and species. A list of SIMP regulated Alpha Codes can be found [here](#). A list of all Alpha Codes can be found [here](#).
8. Geographic Location Code – Choose the geographical location where the harvest, growth, production, sale or catch took place, i.e. North Atlantic Sea.
9. Processing Description - Choose the 3-letter code indicating the product form at the time of transshipment or initial landing.
10. Permit Number – an IFTP permit is required. The IFTP holder must have a US address and maintain chain of custody records for NMFS audits. Please enter the IFTP permit number.
11. Date of Transfer - Use this date field to show the date of the first transfer of the fish from the aquaculture facility or if part of an authorized aggregated harvest from small aquaculture facilities, the date that the product was originally transferred from the entity initially collecting the fish to the next entity.
12. Net Quantity Harvested – Provide the total landed weight of the harvest. The weight is the volume (in pounds or kilograms) of the originally unloaded or delivered catch. NMFS is aware that not all landed catch will be exported to the United States; however, the total catch volume is needed to verify that the harvest event was legal.
13. Indicate if the net quantity harvested is in pounds or kilograms.
14. For products designated as Hatchery Based Aquaculture (HBA) products, provide the name and location of the aquaculture facility where the fish was harvested. If the fish/product was collected from multiple sites and documented as an "aggregated harvest" through an "aggregated harvest report", provide the name of the person or entity responsible for the collection. Each Aquaculture facility that contributed to the content of the shipment must be submitted separately unless or except if the facilities were "small scale" and an "Aggregated Harvest Record" was established documenting the sites that meet the designation as such pursuant the NMFS Seafood Import Monitoring rule (1,000 kg or less per facility on a single day.)
15. Provide the contact name, email address, and phone number of the entity responsible for the collection of the fish (entity in field 14).

**Note:** Aggregated Harvest Report means a record made at a single collection point or processing facility on a single calendar day for aggregated deliveries from multiple small-scale aquaculture facilities, where each aquaculture facility delivers **1,000 kg or less** to that collection point or processing facility on that day. An Aggregated Harvest Report may not be used for information for deliveries of more than 1000 kg from aquaculture facilities.